

**UNITED STATES DISTRICT COURT  
SOUTHER DISTRICT OF NEW YORK**

IN RE WACHOVIA PREFERRED  
SECURITIES AND BOND/NOTES  
LITIGATION

Master File No. 09 Civ. 6351 (RJS)

**ECF Case**

**SUPPLEMENTAL AFFIDAVIT OF STEPHEN J. CIRAMI**

STATE OF NEW YORK     )  
  )     ss.:  
COUNTY OF NASSAU     )

STEPHEN J. CIRAMI, being duly sworn, deposes and says:

1. I am the Senior Vice President of Operations for The Garden City Group, Inc. (“GCG”). Pursuant to the Court’s August 9, 2011 Order Preliminarily Approving Proposed Settlements and Providing For Notice (the “Preliminary Approval Order”), GCG was authorized to act as the Claim Administrator in connection with the Settlements in the above-captioned action.<sup>1</sup> I submit this Affidavit as a supplement to my Affidavit dated October 7, 2011 that was attached as Exhibit 2 to the Joint Declaration of William C. Fredericks, John J. Rice and David Kessler filed with the Court on October 10, 2011.

2. Pursuant to the notice program described in my prior affidavit, through November 4, 2011, GCG has mailed copies of the Notice and Claim Form to 214,334 potential Settlement Class Members or their nominees. While the deadline set by the Court for the submission of Claim Forms is not until December 28, 2011, through November 4, 2011, GCG has received 13,526 Claim Forms.

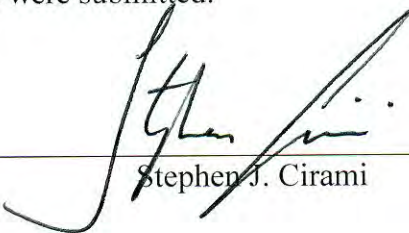
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<sup>1</sup> All terms with initial capitalization not otherwise defined herein shall have the meanings ascribed to them in the Stipulation and Agreements of Settlement dated as of August 5, 2011 (the “Stipulation”).

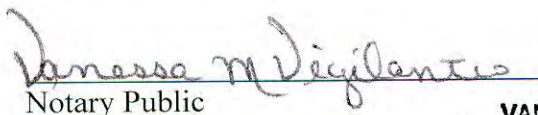
3. As set forth in my prior affidavit, GCG is maintaining a website ([www.WachoviaBondLitigation.com](http://www.WachoviaBondLitigation.com)) dedicated to the Settlements. On October 11, 2011, GCG posted copies of the papers filed in support of the final approval motion and the fee and expense application to the settlement website.<sup>2</sup>

4. As set forth in my prior affidavit, paragraph 81 of the Notice informed potential Settlement Class Members that written requests for exclusion from the Settlement Class were to be sent, such that they were received no later than October 25, 2011, to *In re Wachovia Preferred Securities and Bond/Notes Litigation*, EXCLUSIONS, c/o The Garden City Group, Inc., P.O. Box 9804, Dublin, OH 43017-5704. GCG has been monitoring all mail delivered to that Post Office Box.

5. As previously reported, as of October 5, 2011, GCG had received 10 requests for exclusion. As of the date of this Affidavit, GCG has received an additional 22 requests for exclusion. As a result, there are a total of 32 requests for exclusion from the Settlement Class. Attached hereto as Exhibit A is a list of the persons and entities who submitted requests for exclusion or on whose behalf requests for exclusion were submitted.

  
\_\_\_\_\_  
Stephen J. Cirami

Sworn to before me this  
7<sup>th</sup> day of November, 2011

  
\_\_\_\_\_  
Notary Public

**VANESSA M. VIGILANTE**  
Notary Public, State of New York  
No. 01VI6143817  
Qualified in Queens County  
My Commission Expires 1-17-2014

<sup>2</sup> GCG previously posted copies of the Stipulation, Preliminary Approval Order, Amended Consolidated Class Action Complaint, Notice, and Proof of Claim and Release form to the settlement website on or about August 26, 2011.

# **EXHIBIT A**

## Exhibit A

1. Alice Carole Arrieta  
Westminster, MD
2. Jeanne S. Babbitt & Floyd Babbitt TTEES  
Jeanne S. Babbitt Trust U/A DTD 11/24/89  
Buffalo Grove, IL
3. Ballantyne Re plc  
Dublin, Ireland
4. Harry A. Bending  
Tempe, AZ
5. Commonwealth Bank of Australia  
London, United Kingdom
6. W. T. Davidson & Margueret E. Davidson  
JTWROS  
Hayti, MO
7. Dexia Bank Belgium  
Dublin, Ireland
8. Russell R. Gwaltney & Vivian L. Gwaltney  
Grayslake, IL
9. Carolina Vives Habeych  
Bogota, Colombia
10. Harriet T. Holmes  
Marietta, GA
11. Wendell Horst SEP IRA  
Neshkoro, WI
12. Donald L. Meloy  
Woodbine, NJ
13. Martha F. Morelli  
Chapel Hill, NC
14. Barbara Perry Oeding REV TR.  
Destin, FL
15. Orkney Re II plc  
Dublin, Ireland
16. Marvin F. Quay  
Concord, CA
17. Renate Beckmann Redfield  
Fountain Hills, AZ
18. Robert Latimer Redfield  
Fountain Hills, AZ
19. RLI Insurance Company, on behalf of RLI Corp.  
(all subsidiaries of RLI), RLI Insurance  
Company, Mt. Hawley Insurance Company, RLI  
Indemnity Insurance Company, and RLI  
Insurance LTD  
Peoria, IL
20. Katherine H. Robinson  
Tallassee, AL
21. D. E. Seidel & Helen M. Seidel  
Green Valley, AZ
22. Shibel Family REV Trust UAD 12/19/88  
Charles M. Shibel TTEE  
Gladys M Shibel TTEE  
West Covina, CA
23. Robert John Siefert  
Whitefish Bay, WI
24. Rosalie E. Simons IRA  
Sturgis, SD
25. South Florida Educational FCU  
Miami, FL
26. Stephen Lance Sparlin  
Tulsa, OK
27. Marcella T. Talbott & Wayne E. Talbott  
Homewood, IL
28. Jose I Vives M.  
Bogota, Columbia
29. Eileen D. Wallace  
Massapequa, NY
30. Mildred A. Weitzenhoffer TTEE,  
Mildred A. Weitzenhoffer Revocable Trust  
Norman, OK
31. Gerald E. Whalen & Sandra K. Whalen  
JTWROS  
Lexington, IL
32. Mark A. Willis  
Pensacola, FL